

# Level Up Your SQL Server Index Knowledge



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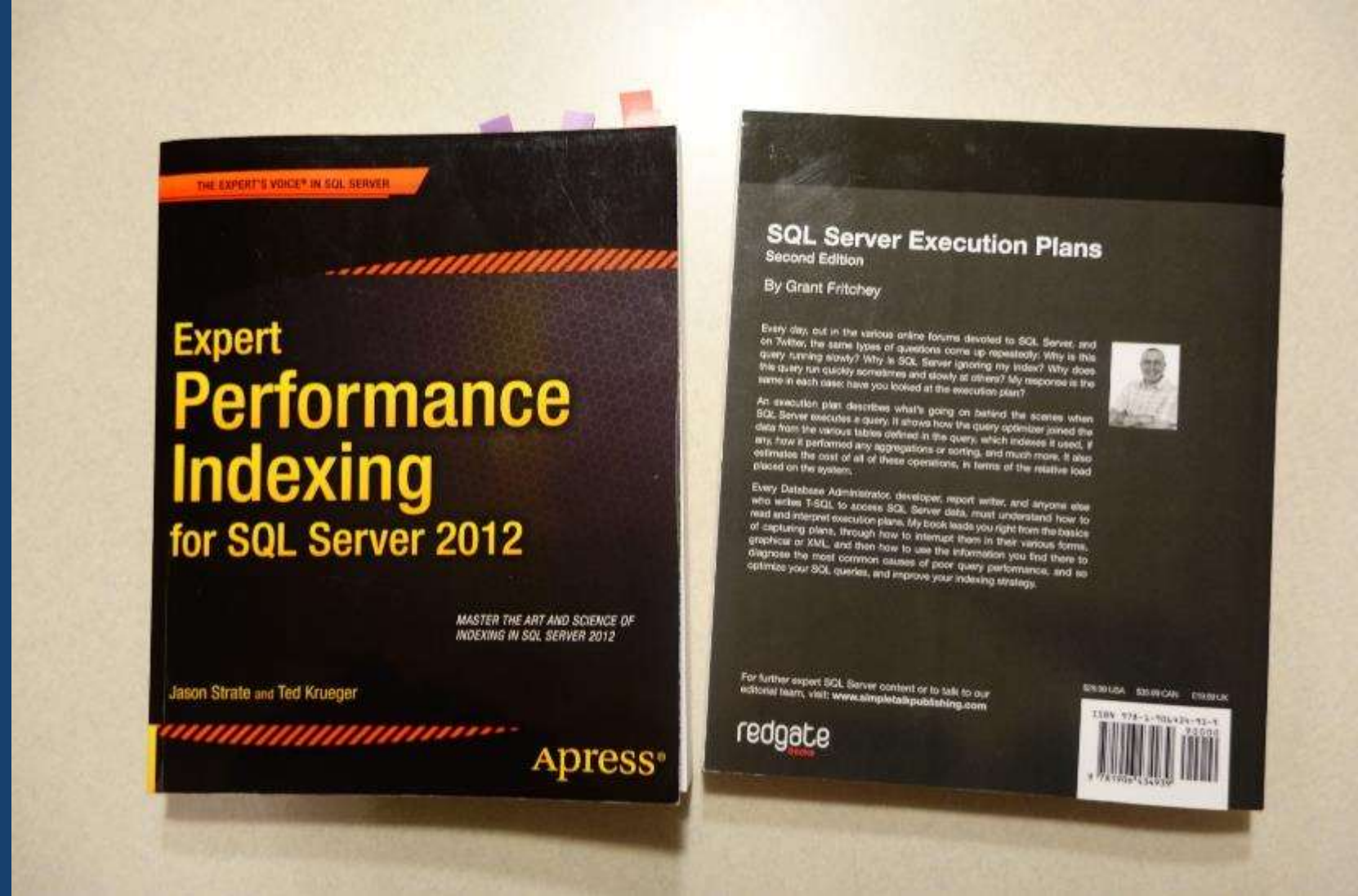
# Yes!

- Slides and code will be posted to my blog
- <http://lessthandot.com>

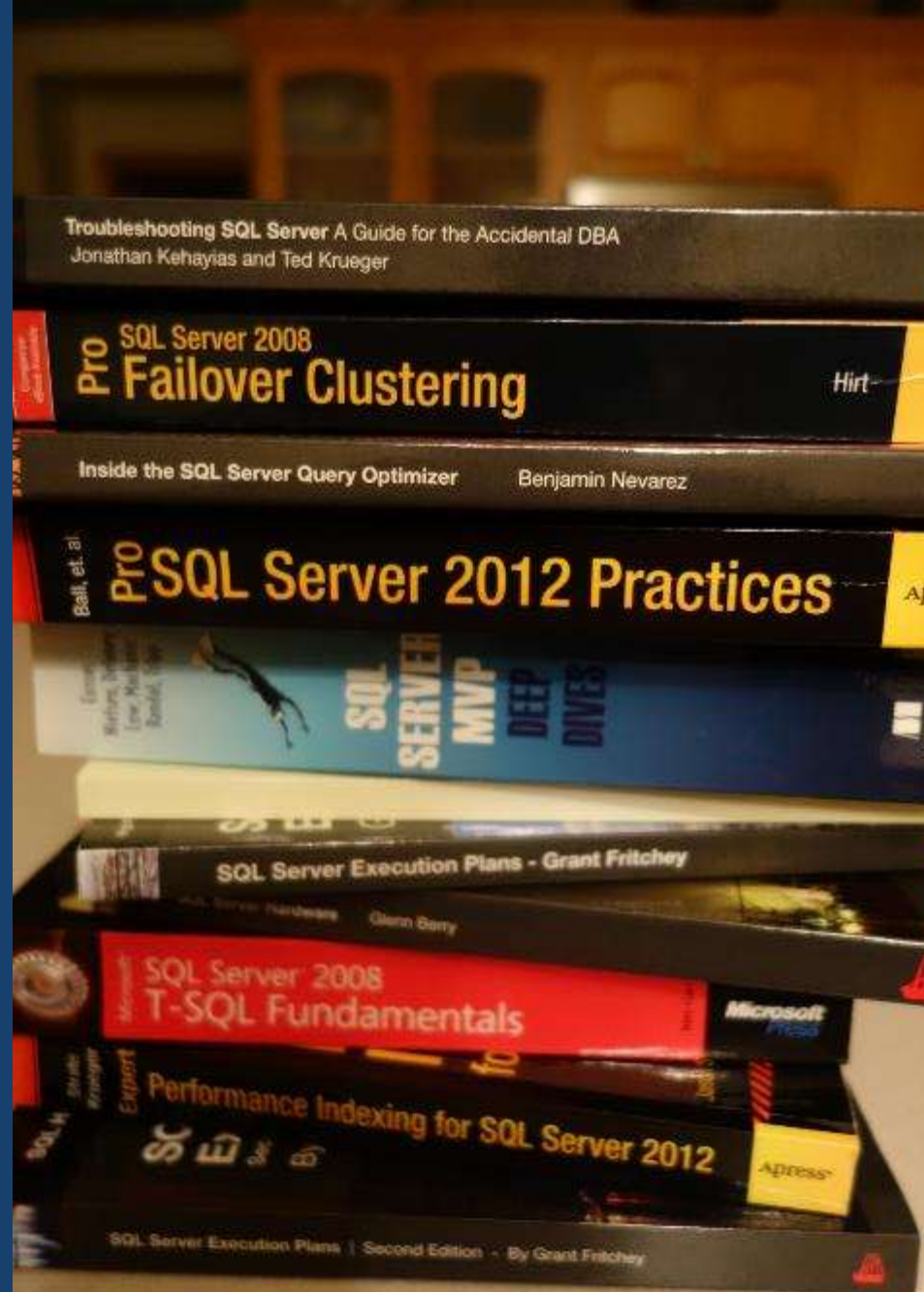
# Level 1: Heaps, Clusters, and Primary Keys

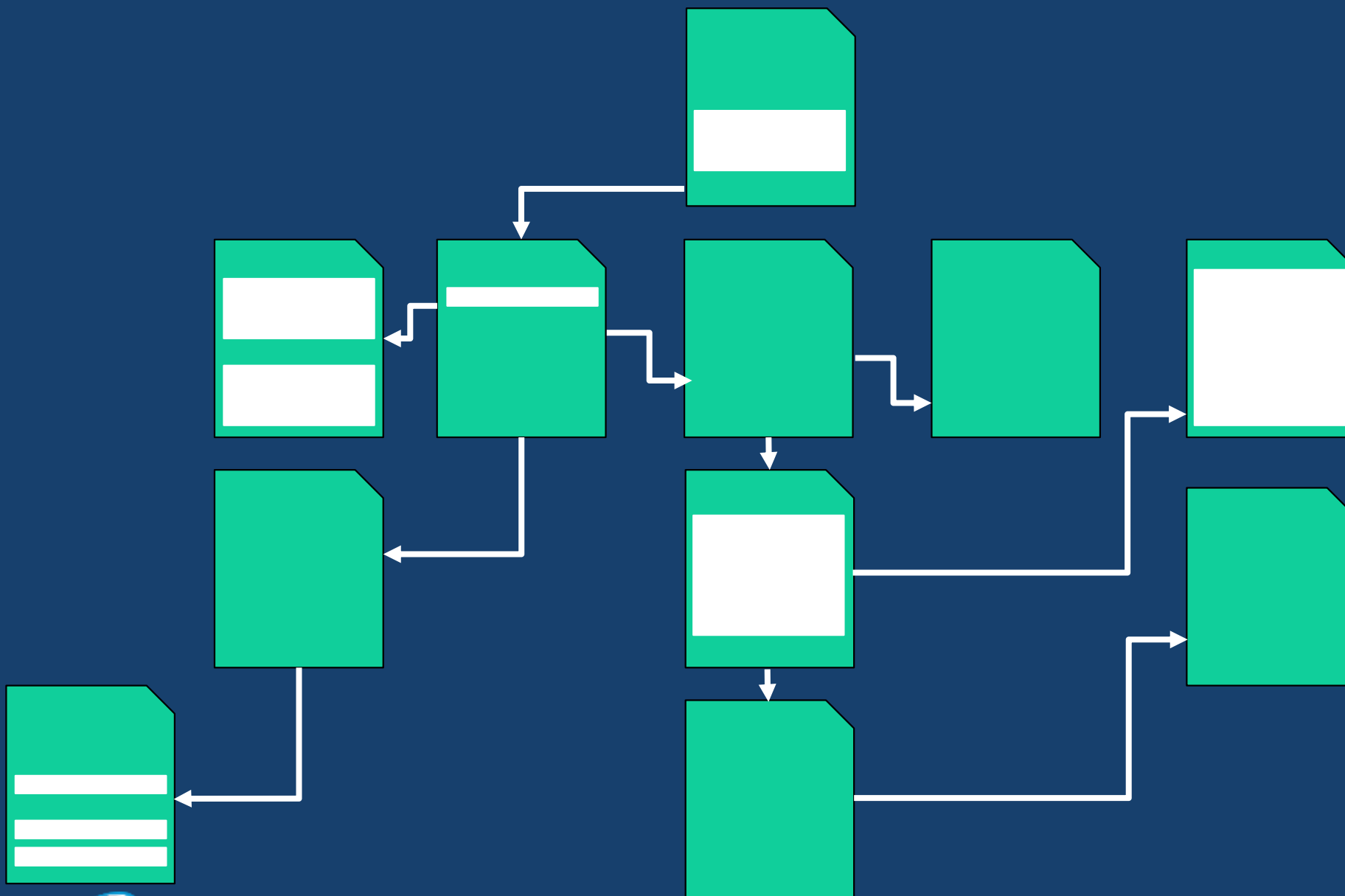
# Books

- Title
- Authors ID
- Publisher ID
- Published Year
- ISBN10
- ISBN13



# Heap





# What's stored on the pages

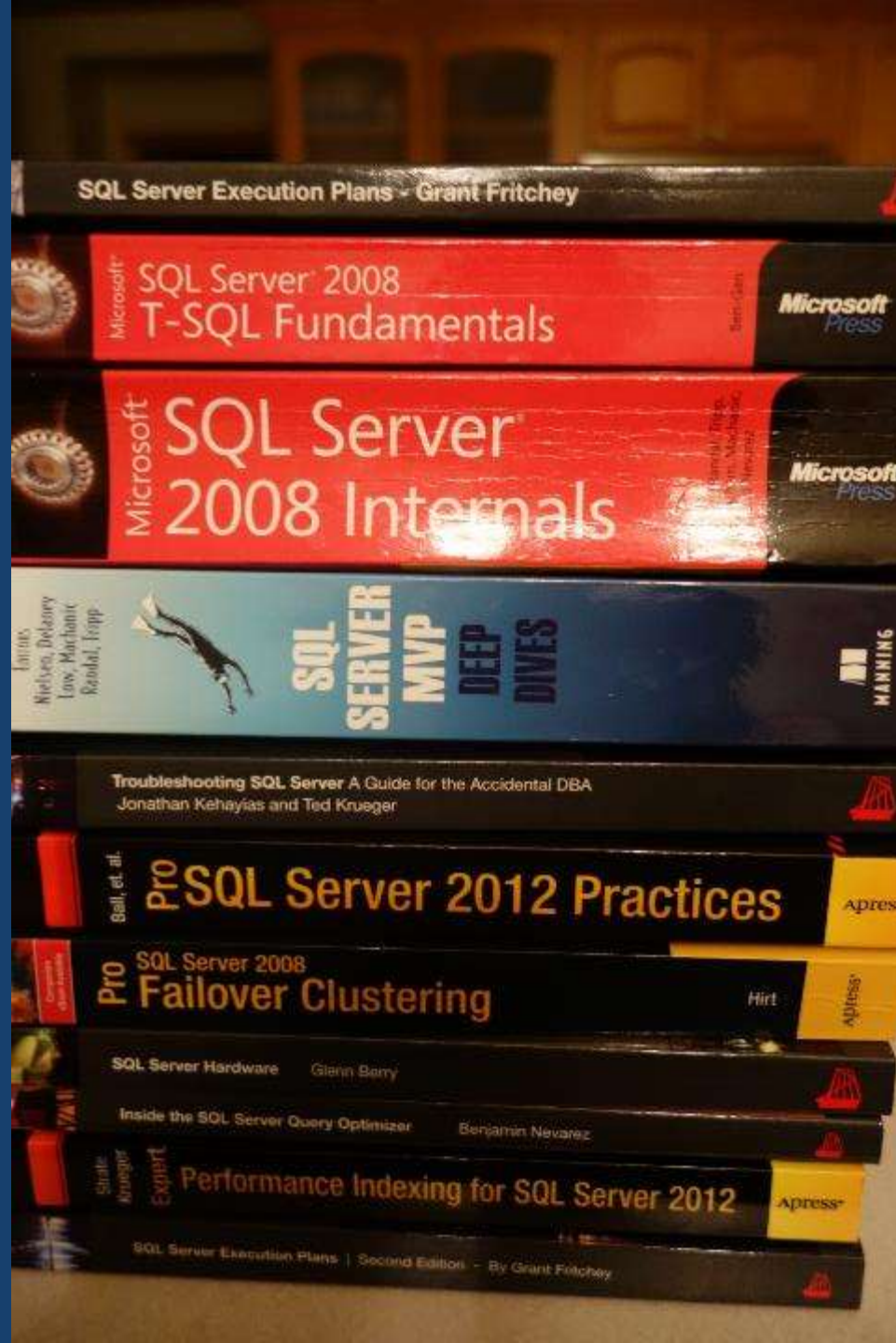
Title	AuthorsID	PublisherID	PublishedYear	ISBN10	ISBN13	RID
Troubleshooting SQL Server	7	1004	2011	1906434786	978-1906434786	1:16:2
Expert Performance Indexing	9	2396	2012	1430237414	978-1430237419	1:38:9
SQL Server MVP Deep Dives	87	987	2010	1935182048	978-1935182047	1:11:1
SQL Server 2008 Internals	16	1543	2009	0735626243	978-0735626249	1:78:23
Inside the SQL Server Query Optimizer	78	1004	2011	1906434603	978-1906434601	1:25:4

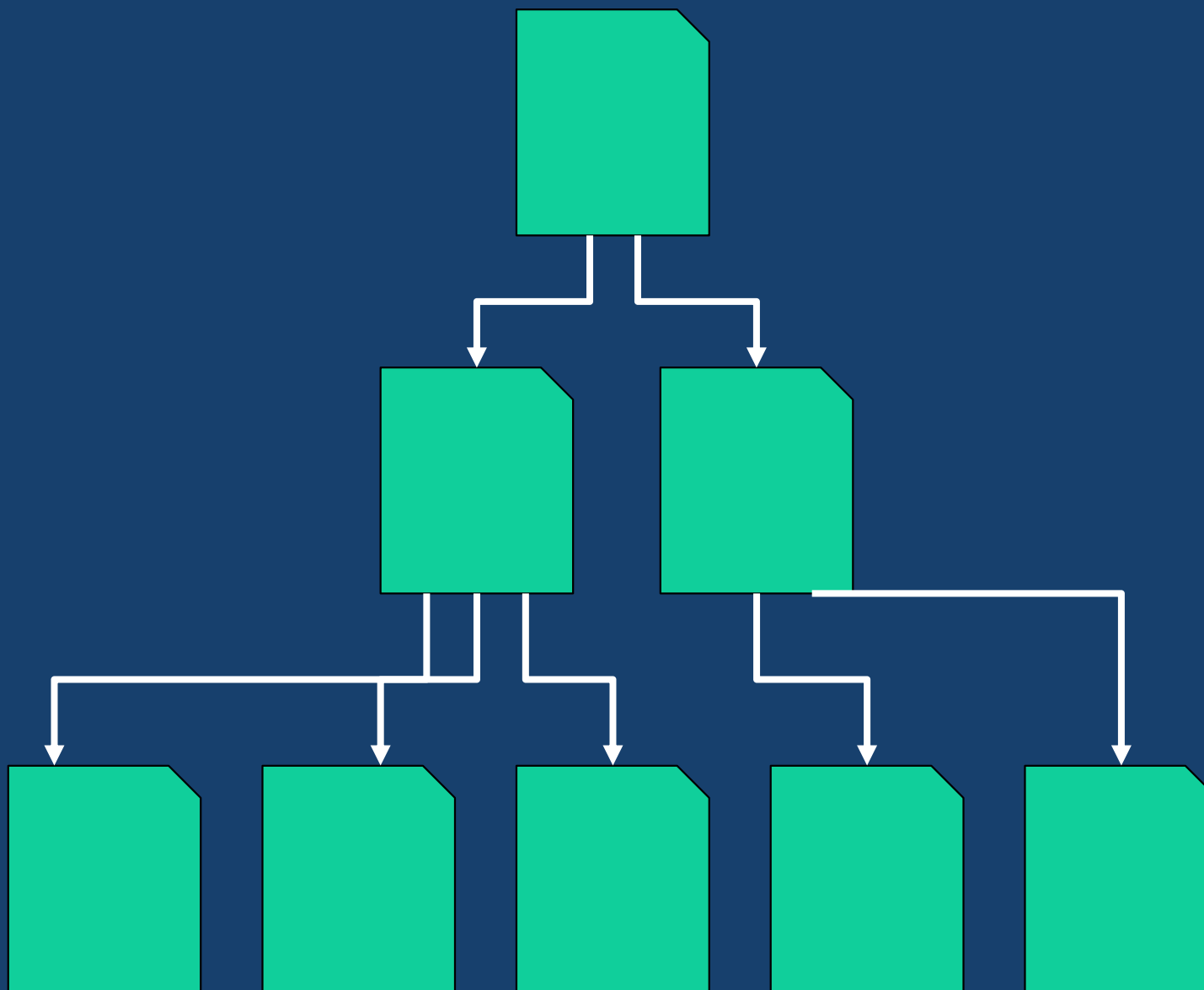


# Row identifier

- Auto-assigned number that uniquely identifies each row in the heap
- A pointer to its physical location

# Clustered index





# What's stored on the "leaf" pages

BookID	Title	AuthorsID	PublisherID	PublishedYear	ISBN10	ISBN13
1001	Troubleshooting SQL Server	7	1004	2011	1906434786	978-1906434786
1002	Expert Performance Indexing	9	2396	2012	1430237414	978-1430237419
1003	SQL Server MVP Deep Dives	87	987	2010	1935182048	978-1935182047
1004	SQL Server 2008 Internals	16	1543	2009	0735626243	978-0735626249
1006	Inside the SQL Server Query Optimizer	78	1004	2011	1906434603	978-1906434601

# What makes a good clustered index key?

- Sequential
- Ever-increasing
- Low cardinality



# What's a Primary Key?

- Unique!
- Relational
  - Foreign Key constraints
- Required to implement some features in SQL Server
  - Transactional Replication
  - Change Data Capture
  - Auditing

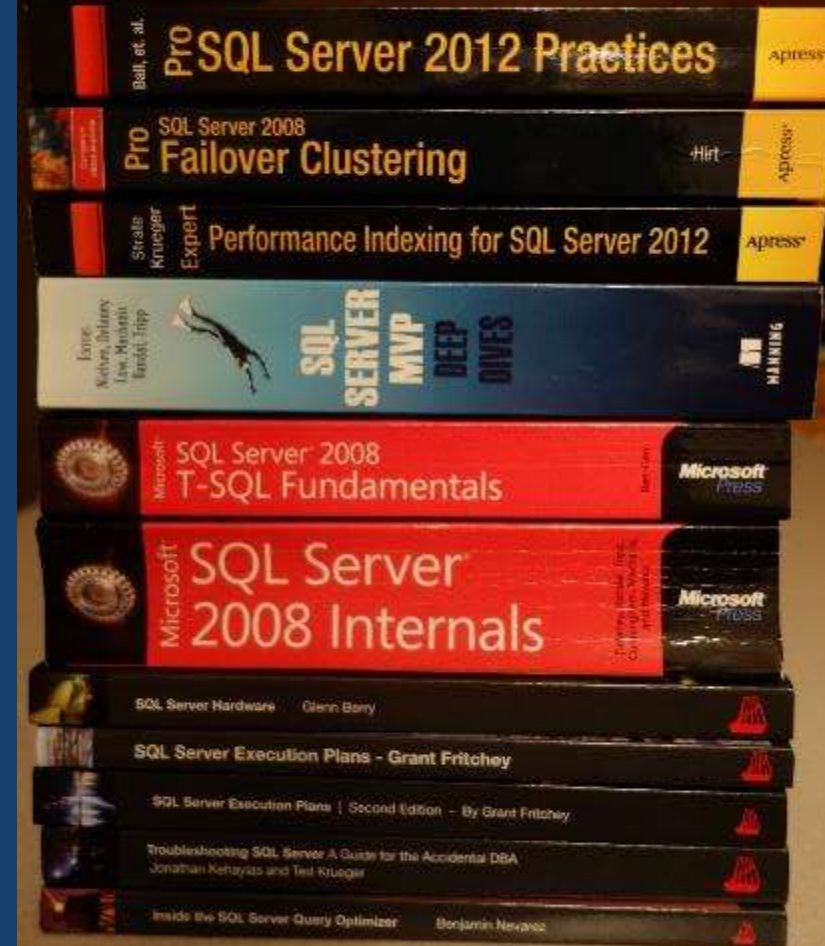
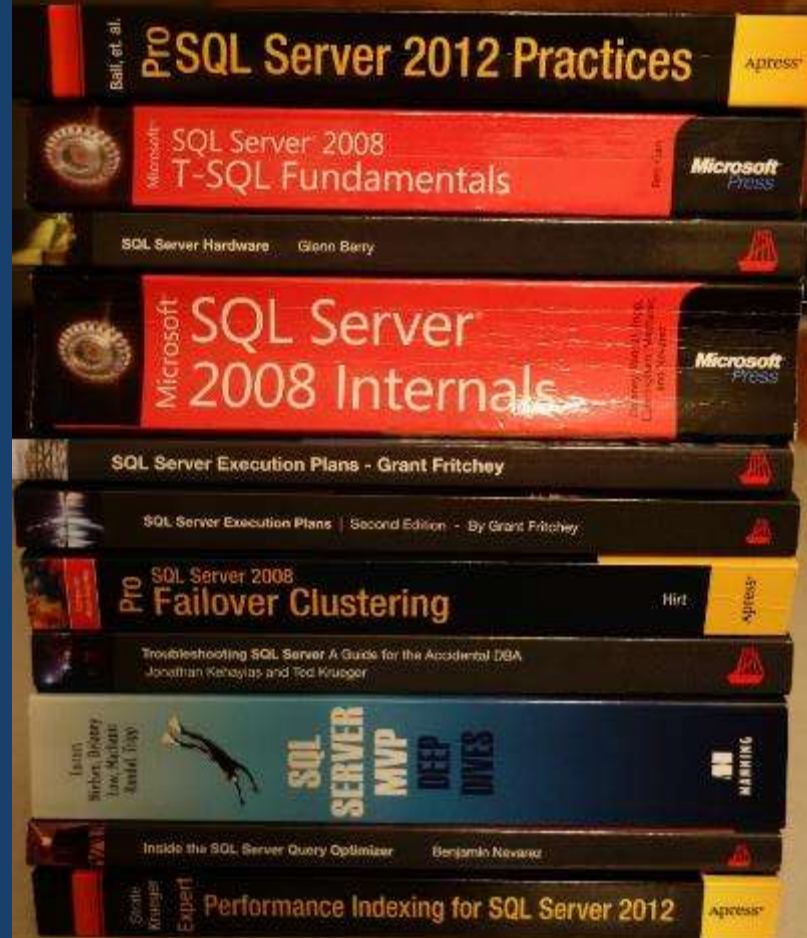
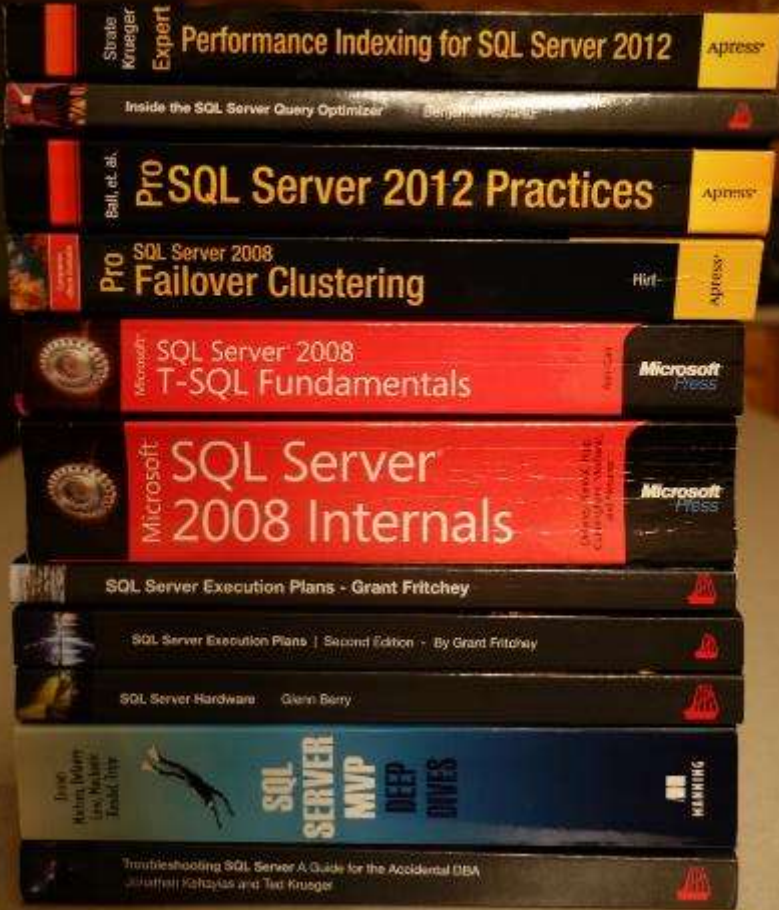
# Should I use the clustered index as my primary key?

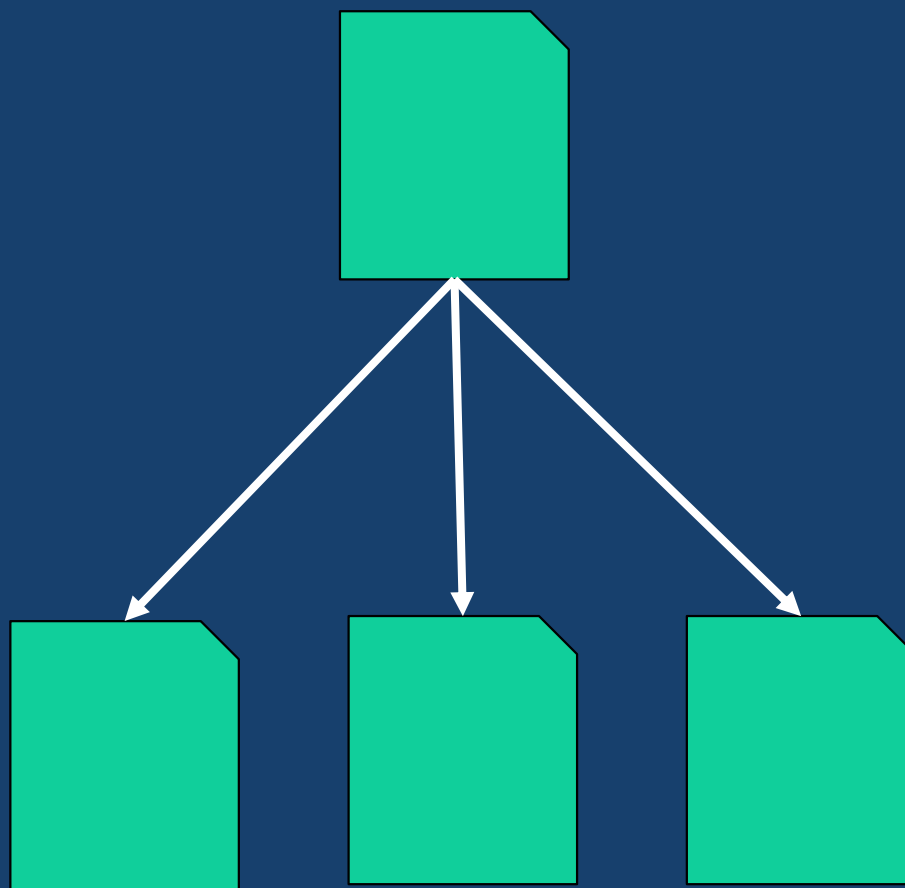
- Would the columns that make each record unique be
  - Sequential?
  - Ever-increasing?
  - Low cardinality?

The clustered index is a technical definition,  
the primary key is a business definition



# Level 2: Nonclustered indexes





# What's stored on the "leaf" pages

## Title

Title	BookID
Expert Performance Indexing	1002
Inside the SQL Server Query Optimizer	1006
SQL Server 2008 Internals	1004
SQL Server MVP Deep Dives	1003
Troubleshooting SQL Server	1001

## Author

AuthorsID	BookID
7	1001
9	1002
16	1004
78	1006
87	1003

## Publisher

PublisherID	BookID
987	1003
1004	1001
1004	1006
1543	1004
2396	1002

# Storing the row identifier or clustered index key

- Every row
- Points back to heap or clustered index

# Key vs include columns

```
CREATE NONCLUSTERED  
INDEX  
IX_Books_ISBN10  
(ISBN10)
```

ISBN10	BookID
0735626243	1004
1430237414	1002
1906434603	1006
1906434786	1001
1935182048	1003

```
SELECT Title,  
ISBN10, ISBN13  
FROM Books  
WHERE ISBN10 =  
@ISBN10
```

# With INCLUDE

```
CREATE NONCLUSTERED INDEX  
IX_Books_ISBN10 (ISBN10)  
INCLUDE (Title, ISBN13)
```

ISBN10	Title	ISBN13	BookID
0735626243	SQL Server 2008 Internals	978-0735626249	1004
1430237414	Expert Performance Indexing	978-1430237419	1002
1906434603	Inside the SQL Server Query Optimizer	978-1906434601	1006
1906434786	Troubleshooting SQL Server	978-1906434786	1001
1935182048	SQL Server MVP Deep Dives	978-1935182047	1003

```
SELECT Title, ISBN10,  
ISBN13  
FROM Books  
WHERE ISBN10 =  
@ISBN10
```

Key columns support WHERE,  
ORDER BY  
Include columns support SELECT



# Filtered nonclustered indexes

# ISBN13

- 10 million records
- 1 million do not have an ISBN13

```
SELECT Title, ISBN10, ISBN13  
FROM Books  
WHERE ISBN13 IS NULL
```

# Index already exists

```
CREATE NONCLUSTERED INDEX  
IX_Books_ISBN13 (ISBN13)
```

- But...
- All 10 million records are scanned

# Alternative

```
CREATE NONCLUSTERED INDEX  
IX_Books_ISBN13_FILTERED (ISBN13)  
WHERE ISBN13 IS NULL
```

- Only the 1 million records WHERE ISBN13 IS NULL are stored
- Thus, only 1 million would be scanned
- 90% reduction in reads!

# Rules

- Use an equality or inequality operator such as =, >, <, >=, <=, IN
- Don't use BETWEEN, NOT IN, CASE
- The expression must be deterministic

The Joys of Filtered Indexes:

<http://blogs.msdn.com/b/timchapman/archive/2012/08/27/the-joys-of-filtered-indexes.aspx>

The Pains of Filtered Indexes:

<http://blogs.msdn.com/b/timchapman/archive/2012/08/27/the-drawback-of-using-filtered-indexes.aspx>

Level 3:  
Use SQL Server's index DMVs  
for more insight

# Questions we can answer

- What indexes exist?
- How many times have they been read from or written to?
- What missing indexes does SQL Server want me to add?

# Find existing indexes

`sys.indexes`

- Name
- Type
- If unique
- If primary key
- If filtered
- Column names
- Column data types



# Results

TableName	IndexName	TypeOfIndex	IsUnique	IsPrimaryKey	IsFilteredIndex	ColumnName	Data Type	ColMaxLength	ColOrder
dbo.AWBuildVersion	PK_AWBuildVersion_SystemInformationID	CLUSTERED	Yes	Yes		SystemInformationID	tinyint	1	ASC
dbo.bigProduct	IX_bigProduct_Color	NONCLUSTERED				Color	nvarchar	30	ASC
dbo.bigProduct	IX_bigProduct_Name	NONCLUSTERED				Name	nvarchar	160	ASC
dbo.bigProduct	IX_bigProduct_Size	NONCLUSTERED				Size	nvarchar	10	ASC
dbo.bigProduct	IX_bigProduct_Weight	NONCLUSTERED				Weight	decimal	5	ASC
dbo.bigProduct	pk_bigProduct	CLUSTERED	Yes	Yes		ProductID	int	4	ASC
dbo.bigTransactionHistory	pk_bigTransactionHistory	CLUSTERED	Yes	Yes		TransactionID	int	4	ASC
dbo.DatabaseLog	PK_DatabaseLog_DatabaseLogID	NONCLUSTERED	Yes	Yes		DatabaseLogID	int	4	ASC
dbo.DeadlockTest	CX_DeadlockTest	CLUSTERED				ID	int	4	ASC
dbo.ErrorLog	PK_ErrorLog_ErrorLogID	CLUSTERED	Yes	Yes		ErrorLogID	int	4	ASC
dbo.ProductInventoryByLocation	CX_ProductInventoryByLocation	CLUSTERED	Yes			ProductID	int	4	ASC
dbo.ProductInventoryByLocation	CX_ProductInventoryByLocation	CLUSTERED	Yes			LocationID	smallint	2	ASC

# Index usage

sys.dm\_db\_index\_usage\_stats

- User reads & writes
- System reads & writes

# Results

database_name	table_name	index_name	user_seeks	user_scans	user_lookups	user_updates	system_seeks	system_scans	system_lookups	system_updates
msdb	JobCandidate	IX_JobCandidate_BusinessEntityID	0	1	0	0	0	0	0	0
msdb	JobCandidate	PK_JobCandidate_JobCandidateID	8	1	0	8	0	0	0	0
msdb	Employee	PK_Employee_BusinessEntityID	2	1	0	0	0	0	0	0
msdb	CurrencyRate	PK_CurrencyRate_CurrencyRateID	0	1	0	12	0	0	0	0
msdb	CurrencyRate	AK_CurrencyRate_CurrencyRateDate_FromCurrencyCode_ToCurrencyCode	0	1	0	12	0	0	0	0
AdventureWorks2012	Person	IX_Person_LastName_FirstName_MiddleName	0	1	0	0	0	0	0	0

# What are we really looking for here?

- Unused indexes
- Under-used indexes

# Missing indexes

- `sys.dm_db_missing_index_details`
- `sys.dm_db_missing_index_groups`
- `sys.dm_db_missing_index_group_stats`

# Results

table_name	user_seeks	user_scans	avg_total_user_cost	avg_user_impact	equality_columns	inequality_columns	included_columns
[AdventureWorks2012].[Sales].[SalesOrderHeader]	2	0	0.559663	79.85	[Status]	NULL	[OrderDate], [DueDate], [ShipDate]

# Not a panacea

- Is it similar to an already-existing index that could be modified?
- How many times would it be read from, compared to written to?
- It doesn't give you the create index statement
  - Key columns = equality\_columns + inequality\_columns, most cardinal first
  - INCLUDE = included\_columns
- DMV is reset when SQL Server is restarted
- Only 500 missing indexes will be kept in the DMV

# Questions the DMVs can't answer

- Should I add the missing index?
- Is that under-used index important?
- How many nonclustered indexes is too many for this table?



# Level 4: Index resources

# Books, Blogs, Courses

- Expert Performance Indexing for SQL Server 2012  
<http://www.apress.com/9781430237419?gtmf=s>
- Stairway to SQL Server Indexes  
<http://www.sqlservercentral.com/stairway/72399/>
- SQL Server Performance: Indexing Basics  
<http://www.pluralsight.com/courses/sql-server-indexing>

# Level 5: Questions? Reach out!

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- <http://lessthandot.com>
- [@grrl\\_geek](#)